Strengthening financial resilience through ICARA & wind-down planning

DEVLINMAMBO

2 months | Creation of ICARA and Wind-Down Plans

Business Context

An investment management firm needed a tailored ICARA framework and wind-down plans to strengthen risk management, assess its financial resources and ensure it could respond effectively to adverse scenarios whilst meeting regulatory expectations.

What the client needed

An effective ICARA and wind-down planning process, including risks-to-harms mapping, financial modelling, clear governance and appropriate own funds and liquidity assessments.

Our Approach

Developed tailored ICARA and wind-down plans, mapped potential harms, modelled ongoing and wind-down financial requirements, established governance arrangements and tested the wind-down process with senior management.

Focus Areas

- 01 ICARA & Wind-Down Plans
- 02 Risk-to-Harm Mapping
- 03 Financial Modelling
- 04 Governance & Scenario Testing

The Outcome

The firm established tailored ICARA and wind-down plans, supported by risk-to-harm assessments, financial modelling and clear governance arrangements. Live testing with senior management helped refine its wind-down planning, whilst the framework provided a stronger basis for ongoing risk oversight, financial resilience and future annual review.